

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,485	07/20/2001	Mark B. Lyles	068986.0102

CONFIRMATION NO. 1620

FORMALITIES LETTER



Baker Botts L.L.P.
One Shell Plaza
910 Louisiana
Houston, TX 77002-4995

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

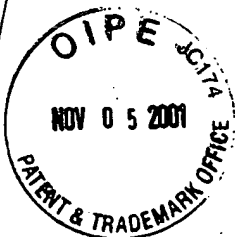
- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$157.
 - \$117 for 13 total claims over 20.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 577.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/12/2001 095110M 00000054 020383 09910485
01 FC:201 370.00 OP
02 FC:203 117.00 OP
03 FC:202 42.00 OP
04 FC:205 48.00 OP
17.00 CH



PATENT
068986.0102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MARK B. LYLES

Serial No.: 09/910,485

Filing Date: **JULY 20, 2001**

Title: **"SUNSCREEN FORMULATIONS
CONTAINING NUCLEIC ACIDS"**

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Group Art Unit:

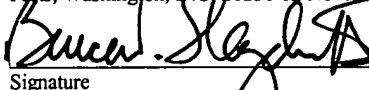
Examiner:

Docket No.: **068986.0102**

Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on November 5, 2001.


Signature

Express Mail Label: EL865848225US

COMPLETION OF FILING REQUIREMENTS

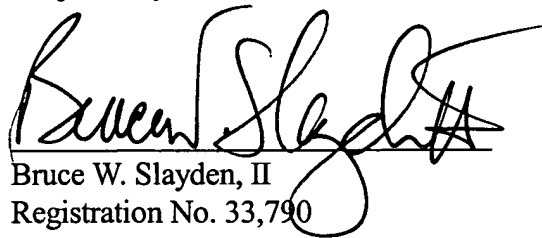
This replies to the Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) mailed September 4, 2001. As November 4, 2001 is a Sunday, this response is considered timely filed on Monday, November 5, 2001. A copy of the Notice is enclosed.

Enclosed is the declaration of inventor Mark B. Lyles for this application.

Enclosed is a check in the amount of \$577.00 which covers the basic filing fee, thirteen (13) total claims over 20, one (1) independent claim over three (3), and the late filing fee of the declaration. In the event that any other fees are required, please charge the undersigned's deposit account number 02-0383.

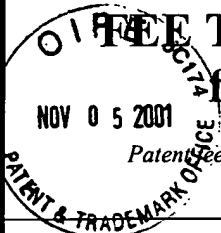
Date: November 5, 2001

Respectfully submitted,


A handwritten signature in black ink, appearing to read "Bruce W. Slayden, II", written over a horizontal line.

Bruce W. Slayden, II
Registration No. 33,790
Baker Botts L.L.P.
One Shell Plaza
910 Louisiana Street
Houston, Texas 77002-4995
Telephone: 713.229.1341
Facsimile: 713.229.7741

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

		Complete if Known		
		Application Number	09/910,485	
		Filing Date	07/20/01	
		First Named Inventor	Mark B. Lyles	
		Examiner Name	Unassigned	
		Group Art Unit	Unassigned	
TOTAL AMOUNT OF PAYMENT		\$ 577.00	Attorney Docket No.	068986.0102

<p style="text-align: center;">METHOD OF PAYMENT</p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number: 02-0383 Deposit Account Name: Baker & Sons L.L.P. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table style="width: 100%;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101 710</td> <td>201 355</td> <td>Utility filing fee</td> <td>355.00</td> </tr> <tr> <td>106 320</td> <td>206 160</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107 490</td> <td>207 245</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108 710</td> <td>208 355</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114 150</td> <td>214 75</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td>\$355.00</td> </tr> </tbody> </table> <p>2. EXTRA CLAIM FEES</p> <table style="width: 100%;"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>33 - 20** = 13</td> <td>x 9.00</td> <td>= 117.00</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>4 - 3** = 1</td> <td>x 40.00</td> <td>= 40.00</td> </tr> <tr> <td>Multiple Dependent Claims</td> <td></td> <td>0 = 0</td> <td></td> </tr> </tbody> </table> <table style="width: 100%;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>103 18</td> <td>203 9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>102 80</td> <td>202 40</td> <td>Independent Claims in excess of 3</td> <td></td> </tr> <tr> <td>104 270</td> <td>204 135</td> <td>Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>109 80</td> <td>209 40</td> <td>** Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>110 18</td> <td>210 9</td> <td>** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (2)</td> <td>\$157.00</td> </tr> </tbody> </table> <p><small>** or number previously paid, if greater; For Reissues, see above</small></p>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 710	201 355	Utility filing fee	355.00	106 320	206 160	Design filing fee		107 490	207 245	Plant filing fee		108 710	208 355	Reissue filing fee		114 150	214 75	Provisional filing fee		SUBTOTAL (1)			\$355.00	Total Claims	Extra Claims	Fee from below	Fee Paid	33 - 20** = 13	x 9.00	= 117.00		Independent Claims	4 - 3** = 1	x 40.00	= 40.00	Multiple Dependent Claims		0 = 0		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 80	202 40	Independent Claims in excess of 3		104 270	204 135	Multiple dependent claim, if not paid		109 80	209 40	** Reissue independent claims over original patent		110 18	210 9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)			\$157.00	<p>3. 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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Bruce W. Slayden, II	Registration No. (Attorney/Agent)	33,790	Telephone	713.229.1786
Signature		Date	November 5, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

HOU03:810433.1

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.



12-10-01

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Sector

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Pursuant to 37 C.F.R. § 1.10, I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee, in an envelope addressed to:

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Washington, D.C. 20231

on November 5, 2001

Bruce W. Slayden, II (Reg. No. 33,790)

November 5, 2001
Date

EL865848225US
Express Mail Label

Included in this Patent Application Mailing are:

1. Completion of Filing Requirements;
2. Copy of Notice to File Missing Parts of Nonprovisional Application mailed 09/04/01;
3. Declaration of Inventor Mark B. Lyles;
4. Check in the amount of \$577.00;
5. Fee Transmittal (plus duplicate copy);
6. Return Postcard to acknowledge receipt of the above items.

First Named Inventor:	Mark B. Lyles
U.S.S.N.:	09/910,485
Filing Date:	July 20, 2001
Attorney Docket No.:	068986.0102
Title:	"Sunscreen Formulations Containing Nucleic Acids"